



AUDIT AND GOVERNANCE COMMITTEE

Provisional Work Programme for 2022/23

The Committee would like to propose the following provisional work programme for 2022/23

DATES	AGENDA ITEMS
<p>28 September 2022</p>	<p>Internal Audit</p> <ul style="list-style-type: none"> • Internal Audit Update • Risk Management Update (inc RM Policy) <p>Finance</p> <ul style="list-style-type: none"> • Liverpool City Region Combined Authority Accounts 2021-22 • External Audit Plan 2021-22 <p>Governance</p> <ul style="list-style-type: none"> • Complaint Handling • Governance Update (Information Management – Breaches, Conduct and Complaints) • Health and Safety • Insurance Claims
<p>30 November 2022</p>	<p>Internal Audit</p> <ul style="list-style-type: none"> • Internal Audit Update • Risk Management Update • Fraud Update (inc fraud protocols)
<p>25 January 2023</p>	<p>Internal Audit</p> <ul style="list-style-type: none"> • Internal Audit Update • Risk Management Update
<p>22 March 2023</p>	<p>Internal Audit</p> <ul style="list-style-type: none"> • Internal Audit Update • Risk Management Update • Internal Audit Plan and Charter 2023-24 <p>Finance</p> <ul style="list-style-type: none"> • Accounting Policies 2022-23 <p>Governance</p> <ul style="list-style-type: none"> • Constitution

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| | <ul style="list-style-type: none"> • Schedule of A&G Committee Dates & Forward Plan • Annual Report and Review of effectiveness |
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Training Programme

A training session took place on 19 July 2022 facilitated by Phil Griffiths of PG Business Risk.

The training covered how members of the Committee should seek to exercise their roles in respect of the key items in the Terms of Reference of the Committee including:-

- Internal Audit
- External Audit
- Financial management
- Risk management
- Standards
- Information management

Further training events can be arranged as and when required.

Committee Briefings

It is proposed that a series of briefing sessions will be held at 12.45pm prior to the Committee meeting at 2pm to provide information to members on relevant topics and assist in the consideration of agenda items.

Looking Ahead to 2023/24

The Audit and Governance Committee plays an important role in supporting good governance and effective financial management and ensuring there is a sound system of internal control and risk management and needs to be as effective as possible.

Areas of Focus for 2023/24

AREA OF FOCUS
<ul style="list-style-type: none"> • Working towards assessing the effectiveness of the committee using the CIPFA guidance “the Effective Audit Committee” and reporting on this in the Annual Report for 2022-23