

Report Title	Liverpool City Region Audit and Governance Committee Annual Review 2021-22
Portfolio Holder	Portfolio Holder for Policy, Reform and Resources
Summary of decision being sought	Approve the Annual Report 2021-22 and agree the proposed work programme for the scheduled meetings.
Is this report exempt?	No
Is this a Key Decision	No
Is the report urgent	No
Is this decision to be exempt from call in	No
Local Authorities affected	All
Impact and implications of this report	
Financial impact	No
Delegation (s) sought	Yes, see recommendations 2 (a) and (b)
Supporting the Corporate Plan	A Fairer City Region √ A Stronger City Region √ A Cleaner City Region x A Connected City Region x A Vibrant City Region x
Climate Change Implications	No
Equality and Diversity implications	No
Social Value implications	No
Human Resources implications	No
Physical Assets implications	No
Information Technology implications	No
Legal implications	Yes, see paragraph 4.2
Risk and Mitigation	No

Privacy implications	No
Communication and consultation implications	No
Contact Officer(s)	Lisa Backstrom, Senior Democratic Services Officer – lisa.backstrom@liverpoolcityregion-ca.gov.uk
Appendices	Yes – Appendix 1 – Annual Report 2021-22 Appendix 2 – Draft Work Programme 2022-23
Background Documents	No

LIVERPOOL CITY REGION COMBINED AUTHORITY

LCR Audit & Governance Committee

Wednesday, 27 July 2022

Report of the Monitoring Officer

LIVERPOOL CITY REGION AUDIT AND GOVERNANCE COMMITTEE ANNUAL REVIEW 2021-22

1. PURPOSE OF REPORT

- 1.1 The purpose of the report is to provide Members of the Audit and Governance Committee with details of the Annual Review for 2021-22 including Audit and Governance activity and key achievements during the year.
- 1.2 The report also proposes a work programme of activity for 2022-23 to demonstrate how the Committee will discharge its functions for consideration and comment.

2. RECOMMENDATIONS

- 2.1. It is recommended that the Liverpool City Region Audit and Governance Committee:
 - a) endorse the Audit and Governance Committee Annual Review Report for 2021-22 at Appendix 1 and delegated authority be granted for any amendments/insertions be made in consultation with the Chairperson, Vice Chairperson, the Head of Internal Audit and the Monitoring Officer; and
 - b) approve the proposed work programme for the Audit and Governance Committee for 2022-23 at Appendix 2 and delegated authority be granted for any amendments/insertions be made in consultation with the Chairperson, Vice Chairperson, the Head of Internal Audit and the Monitoring Officer.

3. BACKGROUND

- 3.1 To enable the Audit and Governance Committee to discharge its functions as defined in its Terms of Reference in the LCRCA Constitution, the enclosed cycle of meetings and provisional work programme of core business is proposed at Appendix 1.
- 3.2 In addition to the activities detailed in Appendix 1, during the year, the Audit and Governance Committee may also consider additional items of business within its remit, including, but not limited to;

- a) Reports and recommendations of external audit and inspection agencies and their implications for governance, risk management or internal control, where appropriate;
- b) Review the effectiveness of the Authority's risk management arrangements, Corporate Risk Register and Risk Management Strategy and policies;
- c) Review and consider the Authority's Accounting Policies, Treasury Management arrangements and financial performance;
- d) Consider revisions to the Liverpool City Region Combined Authority Code of Corporate Governance and Assurance Framework, if required;
- e) Consider the Authority's counter-fraud arrangements;
- f) Consider the Authority's compliance with Public Sector Internal Audit Standards (PSIAS), the CIPFA Local Government Application Note (LGAN) and associated guidance and best practice; and
- g) Any other business proposed by the Chair, officers or Members of the Authority, as appropriate.

4. IMPACT AND IMPLICATIONS

4.1 Supporting the Corporate Plan

A Fairer City Region
A Stronger City Region

4.2 Legal

The Combined Authority is required by law to ensure that adequate and effective internal audit arrangements are provided for. The Annual Report of Committee activities for 2021-22 outlined in Appendix 1 and the proposed work programme outlined in Appendix 2 seek to ensure that legal and Constitutional obligations are met in respect of these requirements.

5. CONCLUSION

- 5.1. The LCR Audit and Governance Committee has a duty to ensure that it is fulfilling its responsibility for adequate and effective internal control, risk management, and governance of its activities. The Annual Report for 2021-22 demonstrates that the Committee has continued to play a key role in the maintenance of good internal control and governance.

JILL COULE
Monitoring Officer

METRO MAYOR STEVE ROTHERAM
Portfolio Holder for Policy, Reform and
Resources

Appendices:

Appendix 1 – LCR Audit and Governance Committee Annual Report 2021-22
Appendix 2 – Draft Work Programme 2022-23

Background Documents:

None