

# LIVERPOOL CITY REGION COMBINED AUTHORITY

To: The Chair and Members of the Audit & Governance Committee

Meeting: 20 March 2019

Authority/Authorities Affected: All

EXEMPT/CONFIDENTIAL ITEM: No

## REPORT OF THE MONITORING OFFICER

### LIVERPOOL CITY REGION COMBINED AUTHORITY AUDIT AND GOVERNANCE COMMITTEE ANNUAL REVIEW 2018/19

#### 1. PURPOSE OF REPORT

The purpose of the report is to provide Members of the Audit and Governance Committee with an Annual Review for 2018/19 that includes Audit and Governance activity, schedule of proposed meeting dates and forward plan to demonstrate how the Committee will discharge its functions during 2019/20.

#### 2. RECOMMENDATIONS

The Liverpool City Region Audit and Governance Committee is recommended to:

- a) agree the Audit and Governance Committee Annual Review for 2018/19 at Appendix 1 and that any amendments/insertions be made in consultation with the Chairperson, Vice Chairperson, the Head of Internal Audit and the Monitoring Officer; and
- b) agree the proposed programme of meetings and provisional work programme for the Audit and Governance Committee for 2019/20 at Appendix 1 and that any amendments during the course of the new municipal year be made in consultation with the Chairperson, Vice Chairperson, the Head of Internal Audit and the Monitoring Officer.

#### 3. BACKGROUND

- 3.1 To enable the Audit and Governance Committee to discharge its functions as defined in its Terms of Reference in the LCRCA Constitution, the enclosed cycle of meetings and provisional work programme of core business is proposed at Appendix 1.

- 3.2 In addition to the activities detailed in Appendix 1, during the year, the Audit and Governance Committee may also consider additional items of business within its remit, including, but not limited to;
- a) Reports and recommendations of external audit and inspection agencies and their implications for governance, risk management or internal control, where appropriate;
  - b) Review the effectiveness of the Authority's risk management arrangements, Corporate Risk Register and Risk Management Strategy and policies;
  - c) Review and consider the Authority's Accounting Policies, Treasury Management arrangements and financial performance;
  - d) Consider revisions to the Liverpool City Region Combined Authority Code of Corporate Governance and Assurance Framework, if required;
  - e) Consider the Authority's counter-fraud arrangements;
  - f) Consider the Authority's compliance with Public Sector Internal Audit Standards (PSIAS), the CIPFA Local Government Application Note (LGAN) and associated guidance and best practice; and
  - g) Any other business proposed by the Chair, officers or Members of the Authority, as appropriate.

#### **4. RESOURCE IMPLICATIONS**

There are no direct issues arising from this report which is for noting only.

##### **4.1 Financial**

There are no direct issues arising from this report.

##### **4.2 Human Resources**

There are no direct issues arising from this report.

##### **4.3 Physical Assets**

There are no direct issues arising from this report.

##### **4.4 Information Technology**

There are no direct issues arising from this report.

#### **5. RISKS AND MITIGATION**

There are no direct issues arising from this report.

**6. EQUALITY AND DIVERSITY IMPLICATIONS**

There are no direct issues arising from this report.

**7. COMMUNICATION ISSUES**

There are no direct issues arising from this report.

**8. CONCLUSION**

The Authority is required by law to ensure that adequate and effective internal audit arrangements are provided for. The proposed schedule of dates and forward plan of Committee activities outlined in this Report seek to ensure that legal and Constitutional obligations are met in respect of these requirements.

JILL COULE  
Monitoring Officer

Contact Officer(s):

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Appendices:

Appendix 1 – Audit and Governance Committee Annual Review for 2018/19 and Provisional Work Programme for 2019/20

Background Documents:

None