



**LIVERPOOL  
CITY REGION**  
COMBINED AUTHORITY

## **MEETING OF THE LIVERPOOL CITY REGION AUDIT AND GOVERNANCE COMMITTEE**

To: The Members of the Audit and Governance Committee

Dear Member,

You are requested to attend a meeting of the Audit and Governance Committee to be held on **Wednesday, 27th July, 2022** at **2.00pm** in the Authority Chamber - No.1 Mann Island, Liverpool, L3 1BP.

Members of the public can view the meeting via the webcast but for anyone who would like to attend in person, please contact Democratic Services on 07833 047153 or email [democratic.services@liverpoolcityregion-ca.gov.uk](mailto:democratic.services@liverpoolcityregion-ca.gov.uk) in advance of the meeting.

If you have any queries regarding this meeting, please contact Lisa Backstrom on telephone number (0151) 330 1079.

Yours faithfully

Chief Executive

### **WEBCASTING NOTICE**

This meeting will be filmed by the Combined Authority for live and/or subsequent broadcast on the Combined Authority's website. The whole of the meeting will be filmed, except where there are confidential or exempt items.

If you do not wish to have your image captured or if you have any queries regarding the webcasting of the meeting please contact the Democratic Services Officer on the above number or email [mailto:democratic.services@merseytravel.gov.ukdemocratic.services@liverpoolcityregion-ca.gov.uk](mailto:mailto:democratic.services@merseytravel.gov.ukdemocratic.services@liverpoolcityregion-ca.gov.uk).

A Fair Processing Notice is available on the Combined Authority's website at <https://www.liverpoolcityregion-ca.gov.uk/wp-content/uploads/Fair-Processing-Notice-CA-Meeting-Video-Recording.pdf>

# **AUDIT AND GOVERNANCE COMMITTEE**

## **AGENDA**

### **GOVERNANCE**

**1. APPOINTMENT OF CHAIR**

To appoint a Chair of the Committee.

**2. APPOINTMENT OF VICE-CHAIR**

To appoint a Vice-Chair of the Committee.

**3. APOLOGIES FOR ABSENCE**

**4. DECLARATIONS OF INTEREST**

**5. MINUTES OF THE LAST MEETING**

To consider the minutes of the last meeting held on 30 March 2022.

(Pages 1 - 10)

**6. LIVERPOOL CITY REGION AUDIT AND GOVERNANCE COMMITTEE  
ANNUAL REVIEW 2021-22**

To consider the report of the Monitoring Officer

(Pages 11 - 32)

**7. GOVERNMENT RESPONSE - LOCAL GOVERNMENT ETHICAL  
STANDARDS**

To consider the report of the Monitoring Officer.

(Pages 33 - 58)

### **INTERNAL AUDIT**

**8. HEAD OF INTERNAL AUDIT ANNUAL REPORT AND OPINION 2021-22**

The purpose of this report is to provide the Head of Internal Audit's Annual Report and Opinion for the financial year 2021-22. The report summarises the work undertaken by Internal Audit during the year, the key conclusions that can be drawn from this, and the overall opinion on the organisation's governance, risk and internal control environment.

(Pages 59 - 94)

## **9. INTERNAL AUDIT UPDATE**

The purpose of this report is to provide the Combined Authority Audit and Governance Committee with an overview of the internal audit work completed in the fourth quarter of 2021-22 and first quarter of 2022-23, in accordance with the Internal Audit Plans 2021-22 and 2022-23.

(Pages 95 - 192)

## **RISK MANAGEMENT**

### **10. RISK MANAGEMENT UPDATE**

The purpose of this report is to provide an update in respect of the system of corporate risk management and the activity that has been undertaken in continuing to embed this system during the first quarter of 2022-23.

(Pages 193 - 220)

## **FINANCE**

### **11. COMBINED AUTHORITY AUDIT STRATEGY MEMORANDUM**

This report provides the Members of the Audit and Governance Committee with details of the external auditors, Mazars, proposed approach to the external audit 2021/22

(Pages 221 - 262)

### **12. COMBINED AUTHORITY DRAFT STATEMENT OF ACCOUNTS**

This report provides the Members of the Audit and Governance Committee with the Combined Authority's draft statement of accounts for the financial year 2021/22 for consideration

(Pages 263 - 414)

### **13. ANY OTHER URGENT BUSINESS**