



**LIVERPOOL  
CITY REGION**  
COMBINED AUTHORITY

## **MEETING OF THE LIVERPOOL CITY REGION AUDIT AND GOVERNANCE COMMITTEE**

To: The Members of the Audit and Governance Committee

Dear Member,

You are requested to attend a meeting of the Audit and Governance Committee to be held on **Wednesday, 19th January, 2022** at **2.00 pm** in the Authority Chamber - No.1 Mann Island, Liverpool, L3 1BP.

Members of the public are encouraged to view the meeting via the webcast but for anyone who would like to attend in person, please contact Democratic Services on 07833 047153 or email [democratic.services@liverpoolcityregion-ca.gov.uk](mailto:democratic.services@liverpoolcityregion-ca.gov.uk) in advance of the meeting. Please note that there is very limited capacity in the Chamber and seating will be allocated on a first come, first served basis.

All those attending will be asked to wear a face covering (unless exempt) and are encouraged to take a Lateral Flow Test before attending. You should not attend if you have tested positive for Coronavirus or if you have any symptoms of Coronavirus.

If you have any queries regarding this meeting, please contact Lisa Backstrom on telephone number (0151) 330 1079.

Yours faithfully

Chief Executive

### **WEBCASTING NOTICE**

This meeting will be filmed by the Combined Authority for live and/or subsequent broadcast on the Combined Authority's website. The whole of the meeting will be filmed, except where there are confidential or exempt items.

If you do not wish to have your image captured or if you have any queries regarding the webcasting of the meeting please contact the Democratic Services Officer on the above number or email [democratic.services@liverpoolcityregion-ca.gov.uk](mailto:democratic.services@liverpoolcityregion-ca.gov.uk).

A Fair Processing Notice is available on the Combined Authority's website at <https://www.liverpoolcityregion-ca.gov.uk/wp-content/uploads/Fair-Processing-Notice-CA-Meeting-Video-Recording.pdf>

# **AUDIT AND GOVERNANCE COMMITTEE**

## **AGENDA**

### **1. APOLOGIES**

### **2. DECLARATIONS OF INTEREST**

### **3. MINUTES OF THE LAST MEETING**

To consider the minutes of the last meeting held on 24 November 2021.

(Pages 1 - 8)

### **INTERNAL AUDIT**

### **4. INTERNAL AUDIT UPDATE**

To consider the report of the Head of Internal Audit providing an overview of the internal audit work completed in respect of the Liverpool City Region Combined Authority in the third quarter of 2021-22, in accordance with the Internal Audit Plan 2021-22.

(Pages 9 - 34)

### **5. RISK MANAGEMENT UPDATE**

To consider the report of the Head of Internal Audit providing an update in respect of the system of corporate risk management and the activity that has been undertaken in continuing to embed this system during the third quarter of 2021-22.

(Pages 35 - 60)

### **GOVERNANCE**

### **6. CHIEF OFFICERS DISCIPLINARY PROCEDURE**

To consider the report of the Chief Legal and Monitoring Officer which sought consideration of a procedure for dealing with disciplinary matters in respect of Chief Officers.

(Pages 61 - 176)

### **7. ANY OTHER BUSINESS**