



**LIVERPOOL
CITY REGION**
COMBINED AUTHORITY

MEETING OF THE LIVERPOOL CITY REGION AUDIT AND GOVERNANCE COMMITTEE

To: The Members of the Audit and Governance Committee

Dear Member,

You are requested to attend a meeting of the Audit and Governance Committee to be held on **Wednesday, 28th July, 2021** at **2.00 pm** in the Authority Chamber - No.1 Mann Island, Liverpool, L3 1BP.

The meeting will be live webcast. To access the webcast please go to the Combined Authority's website at the time of the meeting and follow the instructions on the page.

If you have any queries regarding this meeting, please contact Shauna Healey on telephone number (0151) 330 1086.

Yours faithfully

Chief Executive

WEBCASTING NOTICE

This meeting will be filmed by the Combined Authority for live and/or subsequent broadcast on the Combined Authority's website. The whole of the meeting will be filmed, except where there are confidential or exempt items.

If you do not wish to have your image captured or if you have any queries regarding the webcasting of the meeting please contact the Democratic Services Officer on the above number or email democratic.services@liverpoolcityregion-ca.gov.uk.

A Fair Processing Notice is available on the Combined Authority's website at <https://www.liverpoolcityregion-ca.gov.uk/wp-content/uploads/Fair-Processing-Notice-CA-Meeting-Video-Recording.pdf>

AUDIT AND GOVERNANCE COMMITTEE

AGENDA

GOVERNANCE

1. APPOINTMENT OF CHAIR

To appoint a Chair of the Committee.

2. APPOINTMENT OF VICE-CHAIR

To appoint a Vice-Chair of the Committee.

3. APOLOGIES FOR ABSENCE

4. DECLARATIONS OF INTEREST

5. MINUTES OF THE LAST MEETING

To consider the minutes of the last meeting held on 03 March 2021.

(Pages 1 - 4)

6. CYCLE OF MEETINGS AND FORWARD PLAN 2021-22

To consider a report of the Monitoring Officer providing Members with the cycle of meetings and forward plan for 2021-22.

(Pages 5 - 10)

7. INSURANCE CLAIMS REVIEW

To consider a report of the Deputy Chief Legal Officer providing a review of personal injury and motor insurance claims for the period 1st July 2020 - 30 June 2021.

(Pages 11 - 16)

INTERNAL AUDIT

8. INTERNAL AUDIT UPDATE

To consider a report of the Head of Internal Audit providing an overview of the internal audit work completed in respect of the Combined Authority in the fourth quarter of 2020-21, in accordance with the Internal Audit Plan 2020-21, and the first quarter of 2021-22, in accordance with the Internal

Audit Plan 2021-22.

(Pages 17 - 54)

9. RISK MANAGEMENT UPDATE

To consider a report of the Head of Internal Audit providing an update in respect of the system of corporate risk management and the activity that has been undertaken in embedding this system during the fourth quarter of 2020-21 and first quarter of 2021-22.

(Pages 55 - 92)

10. HEAD OF INTERNAL AUDIT ANNUAL REPORT AND OPINION 2020-21

To consider a report of the Head of Internal Audit providing the Head of Internal Audit's Annual Report and Opinion in respect of Liverpool City Region Combined Authority for the financial year 2020-21.

(Pages 93 - 124)

11. CODE OF CORPORATE GOVERNANCE

To consider a report of the Head of Internal Audit providing the updated Code of Corporate Governance for the approval of the Committee.

(Pages 125 - 152)

FINANCE

12. LCR COMBINED AUTHORITY EXTERNAL AUDIT 2020-21 AUDIT STRATEGY

To consider a report of the Executive Director of Corporate Resources to provide Members of the Committee with details of the proposed audit approach to be adopted by the Authority's external auditors, Mazars, for the audit of the 2020-21 financial statements.

(Pages 153 - 192)

13. LCRCA STATEMENT OF ACCOUNTS 2020/21

To consider a report of the Executive Director of Corporate Resources to provide the Members of the Audit and Governance Committee with a copy of the draft LCRCA Statement of Accounts 2020/21 for their consideration

(Pages 193 - 326)

14. ANY OTHER URGENT BUSINESS