



**LIVERPOOL
CITY REGION**
COMBINED AUTHORITY

**MEETING OF THE LIVERPOOL CITY REGION
COMBINED AUTHORITY
AUDIT AND GOVERNANCE COMMITTEE**

To: The Members of the Audit and Governance Committee

Dear Member,

You are requested to attend a meeting of the Audit and Governance Committee to be held on **Wednesday 2nd October, 2019** at **2.00 pm** in the Authority Chamber - No.1 Mann Island, Liverpool, L3 1BP.

If you have any queries regarding this meeting, please contact Shauna Phillips on telephone number (0151) 330 1086.

Yours faithfully

Chief Executive

WEBCASTING NOTICE

This meeting will be filmed by the Combined Authority for live and/or subsequent broadcast on the Combined Authority's website. The whole of the meeting will be filmed, except where there are confidential or exempt items.

If you do not wish to have your image captured or if you have any queries regarding the webcasting of the meeting please contact the Democratic Services Officer on the above number or email democratic.services@liverpoolcityregion-ca.gov.uk.

A Fair Processing Notice is available on the Combined Authority's website at <https://www.liverpoolcityregion-ca.gov.uk/wp-content/uploads/Fair-Processing-Notice-CA-Meeting-Video-Recording.pdf>

AUDIT AND GOVERNANCE COMMITTEE

AGENDA

1. APOLOGIES FOR ABSENCE

2. DECLARATIONS OF INTEREST

3. MINUTES OF THE LAST MEETING

To consider the minutes of the last meeting held on 24 July 2019.

(Pages 1 - 6)

INTERNAL AUDIT

4. INTERNAL AUDIT PERFORMANCE

To consider a report of the Head of Internal Audit providing an overview of the internal audit work completed in respect of the Combined Authority for the second quarter of 2019-20, in accordance with the Internal Audit Plan 2019-20.

(Pages 7 - 34)

5. RISK MANAGEMENT UPDATE

To consider a report of the Head of Internal Audit The purpose of this report providing an update in respect of the system of corporate risk management and the activity that has been undertaken in embedding this system during the second quarter of 2019-20.

(Pages 35 - 52)

6. COUNTER-FRAUD UPDATE

To consider a report of the Head of Internal Audit providing an update in respect of managing the risk of fraud and corruption in the organisation.

(Pages 53 - 88)

FINANCE

7. BRIEFING NOTE ON THE AMENDMENTS TO THE LIVERPOOL CITY REGION COMBINED AUTHORITY SINGLE ENTITY STATEMENT OF ACCOUNTS 2018-19

To consider a briefing note of the Head of Finance concerning amendments to the Liverpool City Region Combined Authority Single Entity Statement of Accounts for 2018-19.

(Pages 89 - 96)

GOVERNANCE

8. CONSTITUTION UPDATE AND COMBINED AUTHORITY RETURNING OFFICER

To consider a report of the Monitoring Officer providing an update on the Liverpool City Region Combined Authority Constitution and Returning Officer.

(Pages 97 - 144)