



**LIVERPOOL  
CITY REGION**  
COMBINED AUTHORITY

**MEETING OF THE LIVERPOOL CITY REGION  
COMBINED AUTHORITY  
AUDIT AND GOVERNANCE COMMITTEE**

To: The Members of the Audit and Governance Committee

Dear Member,

You are requested to attend a meeting of the Audit and Governance Committee to be held on **Wednesday, 24th July, 2019** at **2.00 pm** in the Authority Chamber - No.1 Mann Island, Liverpool, L3 1BP.

If you have any queries regarding this meeting, please contact Charles Yankiah on telephone number (0151) 330 1709.

Yours faithfully

Chief Executive

**WEBCASTING NOTICE**

This meeting will be filmed by the Combined Authority for live and/or subsequent broadcast on the Combined Authority's website. The whole of the meeting will be filmed, except where there are confidential or exempt items.

If you make a representation to the meeting you will be deemed to have consented to being filmed. By entering the body of the Chamber you are also consenting to being filmed and to the possible use of those images and sound recordings for webcasting and/or training purposes. If you do not wish to have your image captured or if you have any queries regarding the webcasting of the meeting please contact the Democratic Services Officer on the above number or email [democratic.services@liverpoolcityregion-ca.gov.uk](mailto:democratic.services@liverpoolcityregion-ca.gov.uk)

You should be aware that the Combined Authority is a Data Controller under the Data Protection Act. Data collected during this webcast will be retained in accordance with the Combined Authority's published policy.

(Established pursuant to section 103 of the Local Democracy, Economic Development and Construction Act 2009 as the Halton, Knowsley, Liverpool, St Helens, Sefton and Wirral Combined Authority)

# **AUDIT AND GOVERNANCE COMMITTEE**

## **AGENDA**

**1. APPOINTMENT OF CHAIR FOR 2019/20**

To appoint the Chair of the Liverpool City Region Audit and Governance Committee for 2019/20.

**2. APPOINTMENT OF VICE CHAIR FOR 2019/20**

To appoint the Vice Chair of the Liverpool City Region Audit and Governance Committee for 2019/20.

**3. APOLOGIES FOR ABSENCE**

**4. DECLARATIONS OF INTEREST**

**5. MINUTES OF THE LAST MEETING HELD ON 20TH MARCH 2019**

To consider the minutes of the last meeting of the Liverpool City Region Audit and Governance Committee held on 20<sup>th</sup> March 2019.

(Pages 1 - 6)

### **INTERNAL AUDIT**

**6. HEAD OF INTERNAL AUDIT ANNUAL REPORT AND OPINION 2018-19**

The purpose of this report is to provide the Head of Internal Audit's Annual Report and Opinion in respect of Liverpool City Region Combined Authority for the financial year 2018-19.

(Pages 7 - 32)

**7. INTERNAL AUDIT PERFORMANCE REPORT**

The purpose of this report is to provide the Liverpool City Region Combined Authority Audit and Governance Committee with an overview of the internal audit work completed in respect of the Combined Authority for the period since the last report: 28 February - 30 June 2019, in accordance with the Internal Audit Plan 2019-20.

(Pages 33 - 66)

**8. RISK MANAGEMENT UPDATE**

The purpose of this report is to provide an update in respect of the system of corporate risk management and the activity that has been undertaken in embedding this system during the first quarter of 2019-20.

(Pages 67 - 82)

**FINANCE**

**9. LIVERPOOL CITY REGION COMBINED AUTHORITY FINAL ACCOUNTS 2018-19**

The report sets out the results of Mazars audit of the Combined Authority's accounts for the Audit and Governance Committees' consideration.

(Pages 83 - 240)

**GOVERNANCE**

**10. CONSTITUTION AND INFORMATION GOVERNANCE UPDATE**

To advise members of work being undertaken with respect to revising the constitution and an update on information governance.

(Pages 241 - 244)

**11. DRAFT WORK PROGRAMME AND MEETING SCHEDULE FOR 2019/20**

The purpose of this report is to provide members of the Committee with an opportunity to consider and discuss the proposed Work Programme for 2019/20.

(Pages 245 - 250)